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| Journal Name         | Journal of Clinical In   | mmunology   |  |  |
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| Abstract                    | Purpose:STAT1 gain-of-function (<br>manifestations including i<br>kinase (JAK) inhibitors sl<br>management of DN STAT<br>ruxolitinib on the JAK-ST<br>Methods:Using flow cytometry, im<br>STAT1 and phosphorylat<br>and healthy donors follow<br>describe the impact of rux<br>Results:DN STAT3 and STAT1 (<br>pSTAT1 levels in respons<br>gene expression and C-X-<br>stimulation compared to H<br>reduced cytokine respons<br>GOF patient' cells. In add<br>signaling in DN STAT3 p<br>Conclusion:In the absence of effective<br>JAK/STAT1 pathway wit<br>patients with autoimmune | (GOF) and dominant-negative (DN) STAT3 syndromes share clinical<br>infectious and inflammatory manifestations. Targeted treatment with Janus-<br>hows promising results in treating STAT1 GOF-associated symptoms while<br>T3 patients has been largely supportive. We here assessed the impact of<br>TAT1/3 pathway in DN STAT3 patients' cells.<br>munoblot, qPCR, and ELISA techniques, we examined the levels of basal<br>ed STAT1 (pSTAT1) of cells obtained from DN STAT3, STAT1 GOF patients,<br>ving stimulation with type I/II interferons (IFNs) or interleukin (IL)-6. We also<br>colitinib on cytokine-induced STAT1 signaling in these patients.<br>GOF resulted in a similar phenotype characterized by increased STAT1 and<br>se to IFN $\alpha$ (CD3+ cells) and IFN $\gamma$ (CD14+ monocytes). STAT1-downstream<br>-C motif chemokine 10 secretion were higher in most DN STAT3 patients upon<br>nealthy controls. Ex vivo treatment with the JAK1/2-inhibitor ruxolitinib<br>iveness and normalized STAT1 phosphorylation in DN STAT3 and STAT1<br>lition, ex vivo treatment was effective in modulating STAT1 downstream<br>patients. |
| Keywords (separated by '-') | JAK-STAT pathway - DN  | N STAT3 - STAT1 GOF - ruxolitinib   |
| Footnote Information        | Pilar Blanco Lobo and Pa<br>contributed equally.The o<br>https://doi.org/10.1007/s1  | loma Guisado-Hernández contributed equally.Peter Olbrich and Olaf Neth<br>online version contains supplementary material available at<br>0875-022-01273-x.  |

#### **ORIGINAL ARTICLE**

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## <sup>2</sup> Ex vivo effect of JAK inhibition on JAK-STAT1 pathway hyperactivation <sup>3</sup> in patients with dominant-negative STAT3 mutations

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<sup>8</sup> Received: 11 February 2022 / Accepted: 12 April 2022

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#### AQ1 Abstract

**Purpose** STAT1 gain-of-function (GOF) and dominant-negative (DN) STAT3 syndromes share clinical manifestations including infectious and inflammatory manifestations. Targeted treatment with Janus-kinase (JAK) inhibitors shows promising

- <sup>13</sup> results in treating STAT1 GOF-associated symptoms while management of DN STAT3 patients has been largely supportive.
- <sup>14</sup> We here assessed the impact of ruxolitinib on the JAK-STAT1/3 pathway in DN STAT3 patients' cells.
- <sup>15</sup> Methods Using flow cytometry, immunoblot, qPCR, and ELISA techniques, we examined the levels of basal STAT1 and
- <sup>16</sup> phosphorylated STAT1 (pSTAT1) of cells obtained from DN STAT3, STAT1 GOF patients, and healthy donors following
- <sup>17</sup> stimulation with type I/II interferons (IFNs) or interleukin (IL)-6. We also describe the impact of ruxolitinib on cytokine-
- <sup>18</sup> induced STAT1 signaling in these patients.
- <sup>19</sup> Results DN STAT3 and STAT1 GOF resulted in a similar phenotype characterized by increased STAT1 and pSTAT1 levels
- <sup>20</sup> in response to IFNα (CD3<sup>+</sup> cells) and IFNγ (CD14<sup>+</sup> monocytes). STAT1-downstream gene expression and C-X-C motif
- <sup>21</sup> chemokine 10 secretion were higher in most DN STAT3 patients upon stimulation compared to healthy controls. Ex vivo
- <sup>22</sup> treatment with the JAK1/2-inhibitor ruxolitinib reduced cytokine responsiveness and normalized STAT1 phosphorylation in
- <sup>23</sup> DN STAT3 and STAT1 GOF patient' cells. In addition, ex vivo treatment was effective in modulating STAT1 downstream
- <sup>24</sup> signaling in DN STAT3 patients.
- <sup>25</sup> Conclusion In the absence of effective targeted treatment options for AD-HIES at present, modulation of the JAK/STAT1
- <sup>26</sup> pathway with JAK inhibitors may be further explored particularly in those AD-HIES patients with autoimmune and/or
- <sup>27</sup> autoinflammatory manifestations.
- <sup>28</sup> Keywords JAK-STAT pathway · DN STAT3 · STAT1 GOF · ruxolitinib

#### AQ4 Introduction

- <sup>30</sup> With the advances in high-throughput DNA sequencing,
- <sup>31</sup> the number of patients identified with inborn defects in
- <sup>32</sup> the Janus Kinase (JAK)-Signal Transducers and Activa-
- <sup>33</sup> tor of Transcription (STAT) pathway, or its regulatory

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components has markedly increased over the past few decades [1, 2]. Heterozygous autosomal dominant (AD) negative mutations in STAT3 have been recognized to cause hyper-IgE syndrome (AD-HIES), also known as Job syndrome [3–6]. Patients with dominant-negative (DN) mutations in STAT3 are susceptible to skin and pulmonary infections (frequently caused by *Staphylococcus aureus* or *Aspergillus fumigatus*) and chronic mucocutaneous candidiasis (CMC) [7, 8]. They also display elevated IgE serum levels (>2000 U/ml), reduced circulating Th17 and follicular T helper (Th) cells, decreased B and natural killer (NK) cell activation and function resulting in diminished vaccine responses [5, 9–12]. Features most likely not directly related to the immune system include skeletal

 Journal : Large 10875
 Article No : 1273
 Pages : 12
 MS Code : 1273
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Diseases due to gain-of-function (GOF) mutations in 50 STAT1 and DN mutations in STAT3 share a variety of 51 clinical manifestations including infection susceptibil-52 ity, predisposition to vascular complications as well as 53 the immune phenotype [5, 14, 15]. Common mechanistic 54 explanations for an impaired Th17 differentiation have 55 been proposed including a decrease in STAT3-dependent 56 transcription of retinoic acid receptor-related orphan 57 receptor (ROR)-yt or the upregulation of programmed 58 death-ligand 1 (PD-L1) on naïve T cells [16, 17]. Although 59 in vivo PD-L1 inhibition was not protective in an oro-60 pharyngeal candidiasis mouse model, it was shown to be 61 effective in restoring interleukin (IL)-17A (but not IL-17F) 62 expression frequencies as well as PD-L1 and suppressor 63 of cytokine signaling 3 (SOCS3) levels [17]. In addition, 64 an increase in STAT1 phosphorylation (pSTAT1) after 65 66 stimulation with IL-6, IL-21, IL-27, or interferon (IFN)-y has been observed, both in patients with STAT1 GOF and 67 DN STAT3 compared with healthy controls, suggesting 68 the existence of a STAT3-dependent, STAT1 regulation 69 mechanism [17]. 70

In the setting of STAT1 GOF, targeted treatment with 71 the JAK 1/2 inhibitor ruxolitinib has shown to control the 72 paradigmatic hyperresponsiveness to type I and II IFNs 73 and has resulted in improvement of clinical symptoms like 74 CMC and autoimmune manifestations and in normaliza-75 tion of Th cell differentiation in some patients [18–23]. 76 In contrast, current treatment for DN STAT3 patients is 77 supportive and mostly limited to continuous antibiotic 78 and antifungal prophylaxis, aggressive and early treat-79 ment of intercurrent infections and physical therapy [5, 80 24]. Hematopoietic stem cell transplantation has been per-81 formed in a limited number of patients with overall mixed 82 results and non-hematological complications such as vas-83 culopathy or bone-related complications remain likely 84 unresolved [5, 24–26]. There is hence an urgent need for 85 identifying novel therapeutic options for treating patients 86 with DN STAT3 mutations. 87

Considering the similarities in clinical and immuno-88 logical phenotypes found in STAT1 GOF and DN STAT3, 89 90 we here sought to determine the response of DN STAT3 patient cells to type I and II IFNs, as well as IL-6 in 91 the setting of JAK inhibition (Fig. 1). We observed an 92 93 immunological phenotype characterized by high levels of total STAT1 and cytokine-induced pSTAT1 that result 94 in upregulation of STAT1-downstream signaling. Corre-95 spondingly, the JAK1/2 inhibitor ruxolitinib reduces the 96 cytokine hypersensitivity of immune cells in the setting 97 of DN STAT3 indicating that this drug may be a potential 98 directed treatment option for some of these patients. 99

#### Materials and methods

#### **Study participants**

Study subjects were diagnosed with Job Syndrome (AD-102 HIES, DN STAT3) using a diagnostic scoring system com-103 prising immunological and non-immunological features 104 [27] and identification of STAT3 mutations by Sanger 105 sequencing. Patients with STAT1 GOF mutations (N658H, 106 M202I, and P326S) were included as controls. The study 107 protocol was approved by the Ethics Committee of the 108 Hospitales Universitarios Virgen Macarena and Virgen del 109 Rocío (0243-N-19). Specific informed consent forms were 110 signed from all patients, family members, and healthy 111 volunteers at each Spanish participating center (Seville, 112 Malaga, Valencia, and Madrid). Experiments were always 113 performed using a healthy control sample treated in the 114 same way than samples from patients, including those that 115 were sent from other hospitals. 116

#### Whole blood stimulation, cellular staining, and flow cytometry 118

Fresh heparinized whole blood samples from patients with 119 DN STAT3 or STAT1 GOF mutations and healthy controls 120 were transferred  $(100\mu L)$  to polystyrene round-bottom 121 tubes (Falcon). Cells were then stimulated with IFN $\gamma$  (400 122 UI/mL; Imukin, Horizon Pharma) or IL6 (100ng/m; Pep-123 roTech) for 15 min, or with IFNa (100ng/mL; PBL Assay 124 Science) for 30 min at 37°C in the presence of different 125 concentrations of ruxolitinib (0.1 µM, 0.5 µM, or 1 µM; 126 Selleckchem), or vehicle (Dimethyl Sulfoxide; PanReac 127 AppliChem). The cell suspensions were then incubated 128 (15 min, room temperature) with 2 mL (1X) of lysis buffer 129 (e-Bioscience, Invitrogen) and washed twice with RPMI 130 1640 (Biowest). For intracellular staining, an initial per-131 meabilization step was performed. One-hour incubation 132 with ice-cold methanol was followed by 2 washes with 133 phosphate-buffered saline (PBS) and 2% fetal bovine 134 serum (FBS; South America, Biowest) to remove any 135 residual methanol. After three washing steps, cells were 136 incubated with the following monoclonal antibodies for 1 137 h at 4°C: anti-human (h)CD14-FITC (clone M5E2, Becton 138 Dickinson), anti-hCD3-APC-H7 (clone SK7, BD), anti-139 hCD4-BV711 (clone SK3, BioLegend), and anti-hCD8-140 PE-Cy7 (clone SK1, BioLegend), anti-hSTAT1 N-termi-141 nus-Alexa Fluor 647 (clone 1/STAT1, BD), anti-hSTAT1 142 (pTyr701)-PerCP-Cy5.5 (clone 4A, BD), anti-hSTAT3-143 PE (clone M59-50, BD), and anti-hSTAT3 (pTyr705)-144 BV421 (clone 13A3-1, BioLegend). Isotypes for mouse 145 IgG1k1-Alexa Fluor 647 and IgG2a,k-PerCP-Cy5.5 (BD) 146

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| Journal : Large 10875 | Article No : 1273 | Pages : 12 | MS Code : 1273 | Dispatch : 29-4-2022 |  |
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**Fig. 1.** Schematic representation of intact and altered JAK-STAT pathways potentially modulated by ruxolitinib. Healthy (left) and DN STAT3 (right) scenarios are represented. Binding of type I and II IFNs (only type II represented as IFN- $\gamma$ ) to its receptor leads to activation of JAK1/JAK2 and phosphorylation (p) of STAT1. Homodimers (pSTAT1/pSTAT1) translocate to the nucleus to activate interferon-stimulated genes (e.g. STAT1, CXCL10, or SOCS1). Binding of IL-6 to its receptor leads to activation of JAK1/JAK2 and phosphorylation (p) of STAT3. Homodimers (pSTAT3/pSTAT3) translocate to the nucleus to activate interferon-stimulated genes (e.g. STAT3, DSTAT3) translocate to the nucleus to activate interferon-stimulated genes (e.g. STAT3 or SOCS3). DN mutations in the SH2 (impaired dimerization) and DNA

binding domains of STAT3 result in a reduced expression of STAT3dependent genes upon IL-6 stimulation (right). SOCS proteins are negative regulators of cytokine-induced signaling. Reduced levels of SOCS3 lead to higher activation of JAK1/2 and excessive accumulation of total STAT1 and phosphorylation. Higher activity in the JAK-STAT1 pathway after IFN stimulation allows for high level of STAT1-dependent genes (STAT1, CXCL10, and SOCS1). IFN: interferon; IL-6: interleukin 6; JAK: Janus Kinase; STAT: signal transducer and activator of transcription; suppressor of cytokine signaling (SOCS); C-X-C motif chemokine ligand 10 (CXCL10)

were used as controls. Stained cells were washed twice
(PBS/2%FBS), re-suspended in paraformaldehyde 1%
(PFA, Sigma), and stored in dark at 4°C until analysis.
Data were collected using the BD LSRFortessa<sup>TM</sup> (Becton Dickinson) including the FACS DIVA (v8.0) software and analyzed with the FlowJo (v. 10.7.0, Treestar, Ashland, OR, USA) software package.

#### 154 Immunoblotting assays

Peripheral blood mononuclear cells (PBMCs) from DN 155 STAT3 and STAT1 GOF patients and healthy donors were 156 isolated by density-gradient centrifugation using BD Vacu-157 tainer cell preparation tubes. PBMCs were then left unstimu-158 lated or stimulated with IFNa (100ng/mL; PBL Assay Sci-159 ence) with or without ruxolitinib  $(1\mu M)$  for 30 min at 37°C. 160 Cells were lysed using RIPA buffer (NaCl 150mM, NP-40 161 Calbiochem 1%, DCO 0.5%, SDS 0.1%, Tris HCl 50mM pH 162 7.5) containing 1% proteinase inhibitors and phosphatase 163

inhibitors (Sigma-Aldrich) on ice for 10 min. Lysates were 164 then centrifuged at 15000 rpm for 15 min at 4 °C. Protein 165 concentration was quantified using Pierce BCA Protein 166 Assay Kit (Thermo Fisher). Samples were diluted in Lae-167 mmli buffer (Sigma-Aldrich) and heated to 95 °C for 5 min. 168 Proteins were separated by sodium dodecyl sulfate-10% pol-169 vacrylamide gel electrophoresis (SDS-PAGE) under reduc-170 ing conditions and transferred to PVDF membranes (Cytiva 171 Amersham Hybond PVDF Membranes). Membranes were 172 blocked in 200 mM Tris, 1500 mM NaCl (pH 7.6), 0.1% 173 Tween 20, 5% serum bovine albumin and (T-TBS-albumin, 174 AppliChem), for 30 min at room temperature. To detect 175 STAT1 proteins, the membranes were incubated overnight 176 at 4°C with antibodies directed against STAT1 (Cell Signal-177 ing 9172), pSTAT1 (Py701; Cell Signaling 9167) or β-actin 178 (Cell Signaling 4967). Membranes were washed with T-TBS 179 and incubated for 1 h at room temperature with polyclonal 180 horseradish peroxidase (HRP)-conjugated secondary anti-181 rabbit IgG (Cell Signaling 7074). Immunoreactivity was 182

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assessed by chemiluminescence reaction using the enhanced chemiluminescence (ECL) blocking detection system (Bio-Rad). Densitometry was performed using the automated digitizing software (ImageJ, NIH, Bethesda, USA). All bands were normalized to relative protein levels using  $\beta$ -actin as housekeeping protein.

## PBMCs stimulation for transcriptomic analysis and chemokine secretion assays

Fresh isolated PBMC were re-suspended in RPMI culture 191 media (Biowest), supplemented with L-Glutamine (300 192 mg/L), penicillin (100 U/ml)/streptomycin (100 µg/ml; 193 Gibco), and 10% FBS. PBMCs (2×10<sup>6</sup> cells/well, 12-well 194 plate) were rested for 1 h and stimulated with IFNy (400 UI/ 195 mL; Imukin, Horizon Pharma) for 4 h at 37°C, 5% CO2 in 196 the presence of different concentrations of ruxolitinib (0.1 197  $\mu$ M, 0.5  $\mu$ M, or 1  $\mu$ M; Selleckchem), or vehicle (Dimethyl 198 Sulfoxide; PanReac AppliChem). One unstimulated sample 199 was included for each patient and healthy control to assess 200 the basal state. 201

#### 202 Determination of mRNA levels by quantitative ACS reverse transcription-polymerase chain reaction

Unstimulated and IFNy-stimulated PBMCs were harvested 204 and subjected to total RNA extraction using the RNeasy Mini 205 Kit (Qiagen) following manufacturer's instructions. Comple-206 mentary (c) DNA was generated from 100 ng of RNA using 207 the reverse transcription kit (Applied Biosystems). Rela-208 tive STAT1, CXCL10, PD-L1, SOCS1, and SOCS3 mRNA 209 levels were determined using the Gene Expression Assays 210 (Hs01013996, Hs00171042, Hs00204257, Hs00705164, 211 and Hs02330328, respectively; Thermo Fisher) and TaqMan 212 Gene Expression Master Mix (Applied Biosystems) fol-213 lowing manufacturer's instructions. β-actin (Hs01060665; 214 Thermo Fisher) was used as endogenous control. PCR con-215 ditions consisted of polymerase activation at 95°C for 10 216 min, followed by 40 cycles of denaturation at 95°C for 15 s, 217 and annealing/extension at 60°C for 1 min. Relative mRNA 218 levels were analyzed using the comparative  $2^{-\Delta\Delta ct}$  method. 219

#### 220 Enzyme-linked immunosorbent assay

IFN-inducible CXCL10 protein levels were determined in
PBMCs culture supernatant by enzyme-linked immunosorbent assay (ELISA) (Thermo Fisher) following manufacturer's instructions. CXCL10 levels obtained from patients' cell
supernatants were compared to the levels of the same-day
healthy control.

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#### **Data analysis**

Data from all experiments acquired on the same flow cytom-228 eter instrument, using the same settings, were analyzed in 229 raw values of geometric mean of fluorescence (gMFI). Data 230 were expressed either as direct gMFI of STAT1 and pSTAT1 231 levels or as percentage of the same-day healthy donor's level. 232 Quantitative reverse transcription-polymerase chain reaction 233 (RT-qPCR) data from patients were also normalized with the 234 respective same-day healthy donor's level. Graphs were per-235 formed using the Prism software (version 8. GraphPad soft-236 ware). Statistical analysis was performed using the software 237 RStudio Team (2021). Normality for quantitative variables 238 was evaluated using Shapiro-Wilk. For inferential statistics, 239 Wilcoxon and Kruskal-Wallis tests were used. p values lower 240 than 0.05 were considered statistically significant. 241

Results

#### Increased STAT1 levels and cytokine-induced 243 phosphorylation of STAT1 in patients with AD-HIES 244

We included 6 patients from 5 unrelated Spanish families 245 with heterozygous DN STAT3 mutations located in the DNA 246 binding and Src homology 2 (SH2) domains, all of them pre-247 viously described to cause AD-HIES (Fig. S1) [3, 28-30]. 248 Patients with STAT1 GOF mutations (N658H, M202I, and 249 P326S) were included as controls. Because patients with 250 STAT1 GOF and DN STAT3 mutations show a remark-251 able overlap in terms of clinical manifestations and cellu-252 lar phenotypes [5, 14, 17, 31], we first sought to evaluate 253 levels of total STAT1 in resting CD3<sup>+</sup> T cells and CD14<sup>+</sup> 254 monocytes by flow cytometry (Fig. 2). DN STAT3 patients 255 exhibited increased total STAT1 levels when compared to 256 their corresponding same-day healthy controls, similar to 257 those observed in STAT1 GOF patients. We then evalu-258 ated the pSTAT1 levels of DN STAT3 and STAT1 GOF 259 patients' cells after stimulation with IFN $\alpha$ , IFN $\gamma$  and IL-6, 260 all of which being involved in the activation of the JAK-261 STAT signaling pathway (Fig. 3). When we evaluated the 262 effect of individual cytokines on pSTAT1 levels in patient's 263 cells compared to their basal state (Fig. 3A), we observed 264 significantly augmented pSTAT1 levels when CD3<sup>+</sup> cells 265 were stimulated with IFN $\alpha$  (p=0.013). Similarly, a pSTAT1 266 increase was observed after IFN $\gamma$  (p=0.017) and IFN $\alpha$ 267 (p=0.02) stimulation of CD14<sup>+</sup> monocytes whereas other 268 cytokine-cell type combinations did not result in such an 269 increase (Fig. 3A). In addition, pSTAT1 levels in CD3<sup>+</sup> cells 270 from DN STAT3 patients were shown to be higher compared 271 to their same-day healthy controls, after stimulation with 272 IFN $\gamma$  (p=0.036) or IFN $\alpha$  (p=0.036) and tended to be raised 273 after IL-6 stimulation (p=0.059). In CD14<sup>+</sup> monocytes, 274

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**Fig. 2.** STAT1 levels of patients with AD-HIES and STAT1 GOF. **A** Geometric mean fluorescence intensity (gMFI) of STAT1 in resting CD3<sup>+</sup> T cells and CD14<sup>+</sup> monocytes of healthy controls (white histograms), patients with DN STAT3 (black histograms), and STAT1

pSTAT1 levels were significantly higher in patients than healthy controls after IL-6 stimulation (p=0.036) and tended to be higher after IFN $\gamma$  (p=0.059) or IFN $\alpha$  (p=0.093) stimulation. Similar results were observed when testing pSTAT1levels in CD3<sup>+</sup> and CD14<sup>+</sup> obtained from three STAT1 GOF patients (Fig. 3B).

# Ex vivo treatment with the JAK1/2 inhibitor ruxolitinib reduces the IFN-mediated STAT1 hyperphosphorylation in cells obtained from AD-HIES patients

Since ruxolitinib has shown to treat successfully clinical and immunological features of STAT1 GOF patients [18–23], we explored the potential utility of this small molecule inhibitor in the DN STAT3 setting. We tested the ex vivo effect of JAK inhibition (0.1  $\mu$ M, 0.5  $\mu$ M, or 1  $\mu$ M ruxolitinib) on the cytokine-hyperresponsiveness found in DN STAT3 patients

GOF (gray histograms). **B** Normalization of STAT1 levels at basal state in CD3<sup>+</sup> T cells and CD14<sup>+</sup> monocytes from DN STAT3 (black) and STAT1 GOF (gray) patients considering the gMFI value of the healthy control to be 100% (black dotted line)

(Fig. 4A-B). By means of flow cytometry (see gating strat-291 egy in Supplementary Fig. S2A), we analyzed CD3<sup>+</sup> cells 292 following stimulation with IFNa and CD14<sup>+</sup> monocytes 293 with IFNy as both stimuli allow for rapid, and reproducible 294 STAT1 activation (Fig. 2) [32]. Overall, a dose-dependent 295 ruxolitinib effect was observed and levels of pSTAT1 were 296 generally reduced or normalized in those patients with high 297 IFN-sensitivity by using concentrations between 0.5 and 1 298 µM, very similar to the pattern observed in a STAT1 GOF 299 patient (Fig. 4C). Comparable findings were obtained when 300 analyzing the effect of ruxolitinib on the IL-6-STAT1 axis 301 (Fig. 4D) and after IFN $\alpha$  stimulation of CD4<sup>+</sup>, CD8<sup>+</sup> T 302 cells (Fig. S2) and CD14<sup>+</sup> monocytes obtained from DN 303 STAT3 patients (Fig. S3). To confirm those findings, we 304 measured total STAT1 and pSTAT1 levels in lysates of 305 PBMC stimulated with IFNa in the presence of ruxolitinib 306 by immunoblotting assays. We found increased constitutive 307 STAT1 expression and higher phosphorylation of STAT1 in 308

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**Fig. 3.** Levels of phosphorylated STAT1 (pSTAT1) in patients with AD-HIES and STAT1 GOF. Geometric mean fluorescence intensity (gMFI) of pSTAT1 before (NS, unstimulated) or after IFN $\alpha$  IFN $\gamma$  or IL-6 stimulation on CD3<sup>+</sup> and CD14<sup>+</sup> cells healthy controls (white

response to IFN $\alpha$  in DN STAT3 and STAT1 GOF patients than in healthy controls. In addition, IFN $\alpha$ -induced pSTAT1 levels were markedly reduced in the presence of 1  $\mu$ M ruxolitinib (Figs. 5 and S2).

#### 313 Ex vivo treatment with ruxolitinib normalizes

#### 314 the pSTAT1 downstream signaling in cells

315 from AD-HIES patients

We next sought to explore whether the elevated total STAT1 316 and pSTAT1 levels found in DN STAT3 patients result in 317 increased STAT1 downstream signaling. Furthermore, we 318 319 aimed to determine the effect of ruxolitinib on different components of the JAK-STAT pathway. Following stimulation with 320 IFNy, PBMCs from all patients with DN STAT3 were found 321 322 to have increased STAT1 transcripts compared to healthy controls that resulted in overexpression of C-X-C motif chemokine 323 ligand 10 (CXCL10) in 5 of them (Fig. 6). PD-L1 and SOCS1 324 tended to be higher in 4 out of 6 patients while SOCS3 was 325 overall reduced compared to healthy controls (Fig. 6). Add-326 ing ruxolitinib ex vivo also had a dose-dependent effect on 327 328 STAT1-targeted genes, normalizing the expression of those highly abundant transcripts upon IFN<sub>γ</sub> stimulation (Fig. 6). 329 Finally, secretion of CXCL10 was evaluated in supernatants of 330 IFNy-stimulated PBMCs from AD-HIES patients and controls 331



circles), patients with DN STAT3 (black circles; A) and STAT1 GOF (gray squares; B). Black lines connect patient values with the sameday healthy control value

in the presence of ruxolitinib. Four out of 6 patients displayed 332 higher levels of CXCL10 compared to the respective healthy 333 control that subsequently normalized upon ruxolitinib exposure (Fig. 7). 335

336

#### Inhibitory effect of ruxolitinib on the STAT3 axis

To evaluate the impact of ruxolitinib on STAT3 phosphoryla-337 tion, we selected IL-6 and IFN $\alpha$  as the stimulating cytokines 338 given their well-known activating effect on STAT3 signal-339 ing [33]. No marked differences were found in terms of total 340 STAT3 expression and pSTAT3 levels (Figs. S4 and S5). AQ6 1 Although the addition of increasing concentrations of rux-342 olitinib (0.1  $\mu$ M, 0.5  $\mu$ M, or 1  $\mu$ M) did not have a marked 343 effect on STAT3 phosphorylation when determined by flow 344 cytometry (Fig. S4), using the highest concentration of  $1 \mu M$ 345 ruxolitinib seemed to impact STAT3 phosphorylation when 346 evaluated by western blot in both DN STAT3 and STAT1 GOF 347 patients (Figs. 5 and S2). 348

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**Fig. 4.** Effect of ex vivo ruxolitinib treatment on pSTAT1 levels of AD-HIES and STAT1 GOF patient's cells. **A** Dose-related effect of ruxolitinib (Ruxo) on pSTAT1 levels (gMFI) of CD3<sup>+</sup> (left) and CD14<sup>+</sup> (right) cells of patients with DN STAT3 and healthy controls (HC) after stimulation with IFN $\alpha$  or IFN $\gamma$ , respectively. Individual experiments are represented including the respective same-day healthy control. **B** Normalization of pSTAT1 (B) levels following stimulation with IFN $\alpha$  or IFN $\gamma$  of DN STAT3 patients' cells considering the gMFI value of the healthy control to be 100%, in the absence

of ruxolitinib (represented by a black dotted line). **C** Dose-related effect of ruxolitinib on pSTAT1 levels of CD3<sup>+</sup> (left) and CD14<sup>+</sup> (right) cells of patients with STAT1 GOF and healthy controls (HC) after stimulation with IFN $\alpha$  or IFN $\gamma$ , respectively. **D** Normalization of pSTAT1 (B) levels following stimulation with IL-6 of DN STAT3 patients' cells, considering the gMFI value of the healthy control to be 100% in the absence of ruxolitinib (represented by a black dotted line)

#### 349 **Discussion**

Patients with DN STAT3 and STAT1 GOF share several
clinical and cellular phenotypes suggesting a common
pathological mechanism [5, 14, 17, 31]. To date, only one
study has evaluated the JAK-STAT1 signaling of DN STAT3
patients using peripheral blood mononuclear cells (PBMCs),

reporting a shared cellular phenotype characterized by STAT1 hyperphosphorylation in response to cytokine stimulation in patients with either STAT1 GOF or DN STAT3 [17]. After confirming these results by immunoblotting, we sought to specifically investigate T cells and monocytes aiming to understand this initial observation in more detail. We observed that pSTAT1 levels in the investigated cell types 361

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Fig. 5. Western blot analysis of STAT1 and STAT3 expression and phosphorylation. Immunoblot analysis of lysates of PBMCs from DN STAT3 (Pt1 and Pt2) and STAT1 GOF patients. PBMCs from two healthy volunteers were used as control (HC). Cells were left unstimulated or stimulated with IFN $\alpha$  (100ng/ml, 30 min) in the absence or presence of 1  $\mu$ M ruxolitinib



differed according to the employed cytokines most likely due
to cell type-specific physiological differences in density and
distribution of cell surface receptors [32].

While Zhang et al. found only 1 out of 15 DN STAT3 365 patients displaying increased total STAT1 [17], our results 366 show that patients with DN STAT3 not only have higher 367 levels of pSTAT1 but increased STAT1 appears to be a com-368 mon characteristic. Elevated total STAT1 in primary cells 369 may explain the higher increment in pSTAT1 levels after 370 cytokine stimulation (Figs. 2 and 3). This phenomenon has 371 been previously proposed as a possible mechanistic cause 372 373 of excessive pSTAT1 production after stimulation in STAT1 GOF patients [34]. We postulate that the increased activa-374 tion of STAT1 found in DN STAT3 patients after cytokine 375 stimulation might be related to the development of several 376 clinical manifestations such as infectious susceptibility and 377 the development of autoimmune and autoinflammatory 378 AQ7 manifestations. In fact, DN STAT3 patients also show clinical manifestations and immunological features commonly 380 observed in patients with systemic lupus erythematosus such 381 382 as increased IFN-stimulated gene expression and increased formation of neutrophil extracellular traps (NETs) and anti-383 NET autoantibodies when compared to healthy controls 384 385 [35]. This observation, together with the reported clinical

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responses seen in patients with STAT1 GOF patients under 386 JAK inhibitor therapy [18-23], encouraged us to explore the 387 potential utility of the JAK1/2 inhibitor ruxolitinib in the 388 setting of DN STAT3 (Figs. 4 and 5). We demonstrate the 389 ability of ruxolitinib to effectively reduce the increased lev-390 els of pSTAT1 found in most of our patients, similarly to the 391 well-described effect on the hyperactivation found in STAT1 392 GOF patients. We selected ruxolitinib concentrations based 393 on previously published data [20, 36, 37]. In addition, stud-394 ies in other settings such as myelofibrosis or in healthy vol-395 unteers have shown that a maximum plasma concentration 396 of 0.5-1µM can be achieved using oral doses between 10 397 and 25mg/12h showing good safety and tolerability [38-40] 398 indicating a potential clinical applicability of JAK inhibi-399 tion as a suitable pharmacologic intervention for selected 400 AD-HIES patients. One aspect to consider when using JAK 401 inhibitors is the potential selectivity for certain JAKs or 402 other kinases. Clinical responses under ruxolitinib therapy 403 (improved CMC as well as autoimmune manifestations) have 404 been reported even when reduced STAT3 phosphorylation 405 was documented [18, 20]. We did not observe a marked 406 pSTAT3 suppression using increasing ruxolitinib doses by 407 flow cytometry (Fig. S4) but found an important reduction of 408 pSTAT3 in the presence of high concentration of ruxolitinib 409

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Fig.6. Transcription levels of STAT1-dependent genes. Relative expression of STAT1, CXCL10, PD-L1, SOCS1, and SOCS3 after 4h-stimulation of PBMCs with IFNy in presence of different concen-

trations of ruxolitinib (0.1 µM, 0.5 µM, or 1 µM). Relative expression was calculated in triplicate after normalization to unstimulated d sample of healthy control using the comparative  $2^{-\Delta\Delta ct}$  method



410 using western blot analysis (Fig. 5). Whether high ruxolitinib levels reduce STAT3 phosphorylation in certain cell 411 types or if the observed differences are due to differences 412 in the applied methodology as previously suggested in the 413

represented

setting of acute myelogenous leukemia [41] remains to be 414 determined. Furthermore, the impact of potentially further 415 reduced pSTAT3 levels in DN STAT3 patients is unknown 416 and will need to be addressed in future preclinical studies. 417

We also found that DN STAT3 patients had higher lev-418 els of STAT1-related genes (STAT1, CXCL10, and SOCS1) 419 transcripts as well as CXCL10 secretion compared to healthy 420 controls (Figs. 6 and 7). In accordance with previous data 421 Zhang et al. [17], most patients had increased PD-L1 mRNA 422 levels and this has been associated with impaired Th17 dif-423 ferentiation. These observations suggest an increase of 424 STAT1 and STAT1 related molecules, not only at the pro-425 tein level but also at the gene expression level in DN STAT3 426 patients. In line with the observations reported by Zhang 427 et al. [17], SOCS3 transcription was reduced in 5 out of 428 the 6 patients (Fig. 6). Although the concrete mechanism 429 remains to be elucidated, decreased SOCS3 expression has 430 been described in the context of STAT1 hyperphosphoryla-431 tion [17, 31, 42]. With respect to DN STAT3, the dominant-432 negative impact of STAT3 variants might result in reduced 433 SOCS3 expression thereby reducing STAT1 inhibition. This 434 would lead to increased pSTAT1 levels further enhanc-435 ing STAT1 expression and signaling (Figs. 1 and 4). Our 436 results show that overexpressed STAT1-dependent genes 437 might be effectively modulated with ruxolitinib. However, 438 in vivo studies are required to further evaluate the effect of 439 this molecule on the regulation of STAT1 hyperactivation, 440 since some authors have shown that IFN-related chemokine 441 expression (e.g. CXCL10) is not always normalized under 442 ruxolitinib therapy when using concentrations known to nor-443 malize pSTAT1 levels in the setting of STAT1 GOF [36, 43]. 444

In our exploratory study, the relatively small sample size did not allow to test for *STAT3* mutation-specific effects on STAT1 or STAT3 expression. It therefore remains to be determined whether the type of *STAT3* mutations may differentially affect the cytokine induction of the JAK/STAT1 pathway and if there are domain-specific effects when adding ruxolitinib [44].

Our results confirm previous studies indicating STAT1-452 dependent hyperresponsiveness in AD-HIES patients. Fur-453 thermore, we here provide, for the first time, a detailed 454 cell-specific analysis of the underlying JAK-STAT pathway 455 alterations evaluating relevant immune cell populations 456 and cytokine activation assays. Based on our experience 457 and given the overlap in some of their clinical manifesta-458 tions (e.g. CMC), clinicians should be aware that testing 459 for STAT1 or pSTAT1 overexpression would not always 460 distinguish between STAT1 GOF and DN STAT3. Consid-461 ering our preliminary observations, and in the absence of 462 effective directed treatment options for AD-HIES, modula-463 tion of the JAK/STAT1 pathway with ruxolitinib or other 464 JAK inhibitors should be explored particularly in those 465 AD-HIES patients with autoimmune or autoinflammatory 466 manifestations. In addition, treatment of vasculopathies 467 in AD-HIES remains a challenge and studies on primary 468 prevention of vascular complications in these patients are 469 limited [5]. In this regard, a recent study has identified 470

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JAK-STAT pathway-dependent alterations of the hemat-471 opoietic system on the onset and development of aortic 472 aneurysms in patients [45]. Furthermore, Yokokawa et al. 473 demonstrated the positive effects of ruxolitinib in prevent-474 ing aneurysm formation in a murine model [45]. In STAT1 475 GOF patients, refractory CMC and a variety of autoim-476 mune manifestations have clearly improved or resolved 477 under ruxolitinib therapy in several patients [18–23]. Some 478 patients with AD-HIES also suffer from these conditions 479 and would be candidates to enroll in studies aiming to spe-480 cifically test this hypothesis [35]. In addition, the reported 481 risk of infectious complications (especially for fungal and 482 herpesvirus infections) under JAK inhibition warrants 483 close monitoring, which further highlights the complex-484 ity of the JAK-STAT pathway regulation and the need of 485 controlled prospective multicenter clinical studies [36]. 486

To date, there is no specific therapy for AD-HIES 487 patients. We propose that those AD-HIES patients with 488 autoimmune or autoinflammatory manifestations might 489 potentially benefit from JAK inhibitor therapy although of 490 course further preclinical work is needed to better under-491 stand the on- and off-target effects of JAK inhibitors in 492 this specific population. However, once confirmed that this 493 therapy indeed targets predominantly deleterious STAT1 494 hyperactivation, carefully performed controlled off-label 495 studies may be indicated to assess the clinical value of this 496 therapeutic intervention. 497

**Supplementary Information** The online version contains supplementary material available at https://doi.org/10.1007/s10875-022-01273-x. 499

AcknowledgementsThe authors would like to thank the patients and<br/>their families for participating in this study.500501

Author contribution PO and ON contributed to the conception of the 502 work. PBL, PGH, and IV performed all sample processing and experi-503 ments. BdF organized sample shipping. CC, HR, BCG, AME, JML, 504 POA, PO, and ON contributed to the diagnostic and inclusion of DN 505 STAT3 patients. MJC contributed as technician of the cytometry core 506 of the Institute of Biomedicine of Seville. JFNU contributed to the 507 western blot performance analysis. All authors (PBL, PGH, IV, BdF, 508 CC, HR, BCG, AME, JML, POA, MJC, JFNU, JDM, KM, OZ, AF, 509 MSL, SMH, ON, and PO) contributed to the analysis or interpreta-510 tion of the data, manuscript revision, read, and approved the submitted 511 version. PBL wrote the first draft. PO and ON edited the manuscript. 512

Funding This work was supported by the Job Research Foundation513(NY, United States); Consejería de Salud de la Junta de Andalucía514(SA0051/2020 to O.N.); Agencia de Innovación y Desarrollo de Anda-<br/>lucía (PI-0184-2018 to P.O.), Instituto de Salud Carlos III, Madrid,<br/>Spain [Sara Borrell, CD20/00124 to P.B.L, Juan Rodés JR18/00042516to P.O, FIS PI19/01471].518

Data availabilityThe raw datasets generated and analyzed for this519study and supporting the conclusions will be made available by the<br/>corresponding author without undue reservation and on reasonable520request to any qualified researcher.522

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#### 523 **Declarations**

- 524 Ethics approval The study was approved by the Ethics Committee of
   525 the Hospitales Universitarios Virgen Macarena and Virgen del Rocío
   526 (0243-N-19).
- 527 Consent to participate All patients, family members, and healthy volunteers provided written and signed informed consent at each Spanish participating center (Seville, Malaga, Valencia, and Madrid). The authors affirm that human research participants or their legal guardians provided informed consent for participation and publication of their individual details.
- 533 Consent for publication All authors agreed with the submission and534 publication of this manuscript.
- 535 Conflict of interest The authors declare no competing interests.

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